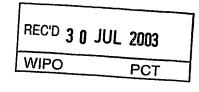
10/518993

21 DEC 2004





# Kongeriget Danmark

Patent application No.:

PA 2002 00959

Date of filing:

21 June 2002

Applicant:

MN Pharma A/S

(Name and address)

c/o Renneberg ApS

Åbrinken 157 DK-2830 Virum

Denmark

Title: Low-energy-diet.

IPC: -

This is to certify that the attached documents are exact copies of the above mentioned patent application as originally filed.



Patent- og Varemærkestyrelsen Økonomi- og Erhvervsministeriet

10 July 2003

étuse Pia Petersen

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

BEST AVAILABLE COPY

PATENT- OG VAREMÆRKESTYRELSEN

15

25

35

PAT

P667DK00

Modtaget

1

2 1 JUNI 2002

## Low-energy-diet

**PVS** 

## Fleid of invention

The present invention relates to a low-energy diet having effect on the basal metabolic rate, the protein metabolism and/or the energy expenditure.

All patent and non-patent references cited in the application, or in the present application, are also hereby incorporated by reference in their entirety.

## **Background of invention**

Low-energy-diets are known from the prior art, for example EP 425 423 presents a low-energy-diet wherein specific fat, carbohydrate and protein sources are used. However, the low-energy-diets known either simply offer fewer calories than normal daily cost, or focus on one mechanism of losing weight.

## **Summary of Invention**

The present invention relates to a low-energy-diet having several improvements in relation to prior art diets.

The present diet is capable of increasing satiety yet providing weight loss. Furthermore, the diet maintains fat-free body mass, increases the energy expenditure, reduces or eliminates risk of iron deficiency, as well as magnesium and calcium deficiency.

In a preferred embodiment the diet also prevents heart arrhythmias and gall stones.

Accordingly, the present invention relates to a low-energy-diet comprising as ingredients sources of

protein, carbohydrate, fat, and optionally minerals and pH-regulating agents, wherein one or more of the ingredient(s) has effect on at least two of the following mechanisms

2

the basal metabolic rate, and/or the protein metabolism, and /or the energy expenditure,

5

10

15

when the low-energy-diet is administered as the main or sole nutrition.

The low-energy-diet is in particular intended for use as the main or sole nutrition in the treatment of overweight, by for example replacing one or more, or all of the daily meals of an individual being obese. But the diet may of course also be used as a nutritional supplement.

In another aspect the invention relates to a method for treating overweight comprising administering to an individual in need thereof an effective amount of a lowenergy-diet as defined above as the main or sole nutrition daily, in particular the lowenergy-diet is suitable for treatment of severe overweight also called obesity.

In the present context "overweight" is defined as a Body mass index > 25kg/m<sup>2</sup>. "Severe overweight" is defined as a body mass index > 30 kg/m<sup>2</sup>.

20

Furthermore, the invention relates to the use of ingredients being sources of

protein, carbohydrate, fat, and optionally minerals and pH-regulating agents, wherein one or more of the ingredient(s) has effect on at least two of the following mechanisms

the basal metabolic rate, and/or the protein metabolism, and /or the energy utilization,

30

25

for the production of a low-energy-diet for administration as the main or sole nutrition.

35

P6670K00

3

## Detailed description of the invention

## **Definitions**

- Daily amounts: In the present context the amounts of ingredients in the low-energydiet is given as daily doses, i.e. for example as mg/d. Since the low-energy-diet may be divided into two or more doses per day, the amount of the various ingredients are calculated on basis of the total low-energy-diet per day.
- It is an object of the present invention to provide a diet having an improved profile as compared to prior art diets in respect of at least the following parameters:

  satiety

  weight loss
  maintaining fat-free body mass
- 15 Increase in energy expenditure

This is obtained by using ingredients in the low-energy-diet that is capable of, preferably in a synergistic manner, to have effect on at least two of the following mechanisms

the basal metabolic rate, and/or the protein metabolism, and /or the energy expenditure,

25 when the low-energy-diet is administered as the main or sole nutrition.

In a preferred embodiment the low-energy-diet is capable of having effect on all three of the following mechanisms

30 the basal metabolic rate, and/or the protein metabolism, and /or the energy expenditure,

when the low-energy-diet is administered as the main or sole nutrition.

P667DK00

4

Low-energy-diets administered to treat overweight are often taken for a long period of time, and therefore it is of importance that basal metabolic rate as well as protein metabolism is only mildly affected by the diet, if at all effected, so that the metabolism is stabilised although an individual to lose weight administer the low-energy-diet as main or sole nutrition for weeks or months. Thus, it is preferred that the ingredients having effect on the basal metabolic rate are capable of inhibiting the basal metabolic rate from decreasing. The ingredients having effect on the protein metabolism are preferably capable of reducing protein degradation.

Furthermore, the Ingredients having effect on energy expenditure are preferably capable of increasing the energy expenditure.

## **Energy content**

- In any diet form aiming at promoting weight loss, the diet is a balance between offering sufficiently low amount of energy and at the same time offering satiety sufficiently high to maintain the individual losing weight to follow the instructions of the diet.
- In the present invention, the diet preferably has an energy content in the range of from 600 kcal/d to 1200 kcal/d, such as an energy content of approximately 800 kcal/d.

## Basal metabolic rate

25

During a diet, in particular a low-energy-diet, the normal response in the body, is to lower the basal metabolic rate, due to the lower level of energy supply. However, when alming at losing weight this normal reduction in the basal metabolic rate counteracts to some extent the effect of less energy intake. Accordingly, it is an object of the invention to prevent reduction in the basal metabolic rate.

In one embodiment this is accomplished by providing an iron content in the lowenergy-diet, wherein said iron content is sufficient to prevent reduction in the basal metabolic rate, as discussed below.

35

P867DK00

5

Basal metabolic rate may be measured by indirect calometry either by a ventilated hood system or by use of respiration chambers.

## Protein metabolism

5

10

15

20

Normal metabolic responses to low-energy-diet are ketosis followed by acidosis leading to protein loss. According to the present Invention, it is preferred to supply proteins in the diet, and also to supply with an Ingredient capable of reducing or eliminating the protein loss, normally seen in individuals subjected to low-energy-diets.

The effect of an ingredient on the protein metabolism may be measured as the effect on the nitrogen metabolism, for example as described in Stanko et al. "Body composition, energy utilization, and nitrogen metabolism with a severely restricted diet supplemented with dihydroxyacetone and pyruvate", Am J Clin Nutr (1992) 55, 771-6, hereby incorporated by reference.

The ingredient capable of reducing or eliminating the protein loss, preferably is an ingredient capable of reducing the acidosis following ketosis, thereby reducing the protein degradation, and as effect affecting the protein metabolism.

## pH regulation

One such protein metabolism effecting ingredient is this a pH-regulating ingredient. Accordingly, the low-energy-diet according to the invention preferably comprises a pH-regulating agent in an amount sufficient to reduce protein degradation. In a preferred embodiment the pH-regulating agent is bicarbonate in an amount sufficient to reduce protein degradation, such as bicarbonate in an amount of from 50 mmol/d to 70 mmol/d.

30

35

25

## Protein

The protein content of the low-energy diet is preferably given in the amounts recommended by the health authorities. The protein source is preferably a source of proteins having a great bio-availability.

OG VAREMÆ **2008** 

P667DK00

6

Thus, the source of protein is preferably selected from casein, pork protein, and/or soy protein. In a preferred embodiment at least a portion of the source of protein is soy protein.

5

in the low-energy-diet having a energy content of about 600 kcal/d to 1200 kcal/d the protein content is preferably in an amount of from 60 g protein to 75 g protein, such as about 70 g protein.

#### 10 Energy expenditure

Daily energy expenditure may be measured by Indirect calorimetry during stay in a respiratory chamber as described in Dulloo et al. "Twenty-four-hour energy expenditure and urinary catecholamines of humans consuming low-to-moderate amounts of medium-chain triglycerides: a dose-response study in a human respiratory chamber, European Journal of Clinical Nutrition (1996) 50, 152-158, hereby incorporated by reference.

20

15

In order to promote the desired weight loss in Individuals subjected to the lowenergy diet, the low-energy-diet preferably also comprises at least one ingredient capable of increasing the energy expenditure.

This ingredient may be selected from one or more of the following:

25

Dihydroxy acetone phosphate, pyruvate, as well as medium chain triglycerides.

Accordingly, the low-energy-diet according to the invention preferably comprises as at least a part of the carbohydrate source dihydroxy acetone phosphate and/or pyruvate.

30

The dihydroxy acetone phosphate and/or pyruvate is preferably in an amount sufficient to increase the energy expenditure whether administered together or alone. Therefore, the diet preferably comprises dihydroxy acetone phosphate in an amount of from 5 g to 15 g, such as in an amount of from 10 g to 15 g. In another embodiP687DK00

7

ment the diet preferably comprises pyruvate in an amount of from 5 g to 20 g, such as in an amount of from 10 g to 20 g.

The same amounts of dihydroxy acetone phosphate and pyruvate may also be administered when the two ingredients both are administered in the low-energy-diet.

The pyruvate preferably is in the form of sodium pyruvate and/or calcium pyruvate, for example as about 10 g of sodium pyruvate and 9 g of calcium pyruvate.

## 10 Carbohydrate

In addition to the carbohydrates given as pyruvate and dihydroxy acetone phosphate other sources of carbohydrate are also included in the low-energy-diet. Any suitable carbohydrate source may be used, for example fructose.

The low-energy-diet according to the invention preferably comprises the total carbohydrate source in an amount of from 70 g carbohydrate to 120 g carbohydrate, more preferably in an amount of from 80 g carbohydrate to 110 g carbohydrate.

## 20 Fat

15

25

35

Another ingredient capable of promoting the energy expenditure is medium chain triglycerides as discussed above, said medium chain triglycerides preferably being selected from C6 triglycerides, C8 triglycerides and C10 triglycerides.

Of the preferred amount of fat source in the low-energy-diet according to the invention in an amount of from 5 g to 20 g, the medium chain triglycerides preferably constitute at least 3 g of the fat source.

The remaining fat in the fat source is preferably unsaturated fatty acids, such as oleic acid.

Also, the low-energy-diet may comprise fish oil, such as at least 1 g of fish oil, such as at least 3 g of fish oil, such as at least 5 g of fish oil.

10

P667DK00

8

## Iron content

in a preferred embodiment the low-energy-diet according to the invention comprises iron in an amount sufficient to modulate the basal metabolic rate, such as sufficient to prevent reduction in the basal metabolic rate. In Beard et al. "Changes in Iron status during weight loss with very-low-energy diets" Am J Clin Nutr (1997) 66, 104-10 the effect of iron intake during weight loss is described. The saturation of transferrin is increased when the iron intake is increased to above the recommended daily allowance (RDA) leading to a reduced decrease in the thyroid hormone T<sub>3</sub> level. Thereby the reduction in basal metabolic rate normally seen in individuals during a diet, in particular a low-energy-diet is reduced or even eliminated.

It is preferred that at least 2 mg/d Iron above RDA should be included in the low-energy-diet, such as at least 5 mg/day iron above RDA. Most preferably about 9 mg/d Iron above RDA should be included in the low-energy-diet. In other words, the low-energy-diet according to the invention preferably comprises Iron in an amount of from 20 mg/d to 30 mg/d.

## Satiety

20

25

15

Apart from stabilising the basal metabolic rate and the protein metabolism and increasing the energy expenditure, the low-energy-diet should preferably also provide the individual subjected to the low-energy-diet with a feeling of satiety each time the doses of low-energy-diet is taken. Thereby the probability of success of losing weight rapidly increases.

The ingredients, dihydroxy acetone phosphate, pyruvate and medium chain triglycerides, capable of increasing the energy expenditure may additionally have the effect of providing satiety.

30

35

## **Dietary fibres**

In addition to the ingredients mentioned above, the low-energy-diet according to the invention may further comprise dietary fibres, among others also to increase the feeling of satiety.

P687DK00

9

The dietary fibres may be any suitable dietary fibres, such as fibres are selected from barley fibres, sugar beat fibres, and oat fibres or combinations thereof.

Independent of the fibre used, the amount of fibre is preferably in an amount of from 5 10 g/d to 50 g/d.

## Magnesium

In order to avoid disturbances in the electrolytes leading to heart arrhythmlas it is 10 further preferred that the diet comprises magnesium, such as magnesium added as MgCl, preferably in an amount of from 15 mEq/d to 20 mEq/d, preferably about 18 mEq/d.

#### Ursodeoxy cholic acid 15

The changes in the organism of an individual losing weight by means of a lowenergy-diet may lead to side effects such as gall stones. In a preferred embodiment the diet further comprises ursodeoxy cholic acid to reduce the risk of gall stones. In another embodiment ursodeoxy cholic acid is administered separately as a tablet. In any event the ursodeoxy cholic acid is preferably administered in an amount of from 1000 mg/d to 1400 mg/d, preferably about 1200 mg/d.

## Vitamins and minerals

25

30

20

Vitamins and minerals not mentioned above are dosed within the limits laid down by the health authorities, and may be included in the low-energy-diet or administered daily in tablet form. In particular vitamins and minerals are dosed according to Dietary Reference Intakes, Institute of medicine, USA, with modifications according to special requirements for overweight subjects on a low-calorie diet.

in a preferred embodiment the low-energy-diet according to the invention comprises per day

10

Carbohydrate: 70-120 g, including dihydroxy acetone phosphate 10-15g and/or py-

ruvate 10-20 g Protein: 50-80 g

Fat: 5-20 g, including at least 3 g medium chain triglycerides

5 Iron: 20-30 mg/d

In a more preferred embodiment, the low-energy-diet according to the invention comprises per day

10 Carbohydrate: 70-120 g, including dihydroxy acetone phosphate 10-15g and/or py-

ruvate 10-20 g Protein: 50-80 g

Fat: 5-20 g, including at least 3 g medium chain triglycerides

Iron: 20-30 mg/d

15 Magnesium: 15-20 mEq/d

In an even more preferred embodiment the low-energy-diet according to the invention comprises per day:

20 Carbohydrate: 70-120 g, including dihydroxy acetone phosphate 10-15g and/or py-

ruvate 10-20 g Protein: 50-80 g

Fat: 5-20 g, including at least 3 g medium chain triglycerides

Iron: 20-30 mg/d

25 Magnesium: 15-20 mEq/d

Fibres: 10-50 g/d

## **Formulation**

The low-energy-diet according to the present invention may be formulated into any suitable form, such as powders, or ready-to-drink or ready-to-eat products. Thereby the individuals desiring to lose weight may adapt more to the diet, since they have a choice between various products.

11

In one embodiment the low-energy-diet is in the form of powder. Said powder may be dispensed in separate doses and suspended or solved in liquid, such as liquid selected from water and milk immediately before intake.

5 In another embodiment the low-energy-diet is formulated as a ready-to-drink product

In yet another embodiment the low-energy-diet is formulated as a bar

## **Applications**

10

15

The low-energy-diet according to the invention is in particular meant for use in the treatment of overweight. Accordingly, the present invention further relates to a method for treating overweight comprising administering to an individual in need thereof an effective amount of a low-energy-diet as defined above as the main or sole nutrition daily.

The diet is preferably divided into two or more portions per day to be administered at regular times for, for example to simulate the traditional meal times.

20 Another aspect of the invention relates to the use of ingredients being sources of

protein, carbohydrate, fat, and optionally minerals and pH-regulating agents, wherein one or more of the ingredient(s) has effect on at least two of the following mechanisms

25

the basal metabolic rate, and/or the protein metabolism, and /or the energy expenditure,

for the production of a low-energy-diet for administration as the main or sole nutrition.

The ingredients mentioned may be as described above.

OG VAREMÆ 2014

## P667DK00

12

The diet is preferably packed in a package intended for being able to cover the total nourishment requirement for a defined period of time, such as packages for a powder. a ready-to-drink product or a bar product.

#### 5 **Examples**

## Example 1

## Powder formulation

10

A daily dose of the low-energy-diet

Carbohydrate: 96 g, including pyruvate 10 g

Protein (soy and casein): 70 g

Fat (oleic acid): 15 g 15

Fibres (barley): 15 g/d

Vitamins and minerals according to Nordis Alimantaris

Plus extra addition of Iron: 16 g

Flavours: 3 g

20

## Example 2

## Ready-to-drink formulation with vanilla flavour

25	Formula	%
	Water	74.8
	Corn Syrup Solids, 24	8.2
	Carbohydrate including pyruvate (10% of total)	6.8
30	Protein (casein and soy 50/50)	7.22
•-	Oil (oleic acid)	1.146
	Lecithin	0.4
	Dicalcium phosphate	0.3
	Avicel, FMC CL611	0.241
35	Vanilla flavours	0.460
	Ca citrate	0.134
	Mono potassium phosphate	0.096

5	Butterscotch WSV premix Viscarin OSV premix	0.05 0.048 0.02 0.019 100.0
	Example 3	
	Ready-to-eat product - bar with vanilla flavour	
10	Formula	%
15	Water Carbohydrate including pyruvate (10% of total) Protein (caseln and soy 50/50) Avicel, FMC CL611 Vanilla Flavours Butterscotch Viscarin	82.2 8.49 8.33 0.46 0.4 0.08 0.04 100.0
20		100.0

## P667DK00

14

### Claims:

- 1. A low-energy-diet comprising as Ingredients sources of
- protein, carbohydrate, fat, and optionally minerals and pH-regulating agents, 5 wherein one or more of the ingredient(s) has effect on at least two of the following mechanisms
- the basal metabolic rate, and/or 10 the protein metabolism, and /or the energy expenditure,

when the low-energy-diet is administered as the main or sole nutrition.

- 2. The low-energy-diet according to claim 1, wherein the diet has an energy con-15 tent in the range of from 600 kcal/d to 1200 kcal/d.
  - 3. The low-energy-diet according to claim 2, wherein the diet has an energy content of approximately 800 kcal/d.
  - 4. The low-energy-diet according to any of the preceding claims, wherein the source of protein is selected from casein, pork protein, and/or soy protein
- 5. The low-energy-diet according to claim 4, wherein at least a portion of the 25 source of protein is soy protein.
  - 6. The low-energy-diet according to any of the preceding claims, wherein the diet comprises the protein source in an amount of from 50 g protein to 80 g protein.
- 7. The low-energy-diet according to claim 6, wherein the diet comprises the protein 30 source in an amount of from 60 g protein to 75 g protein.
- 8. The low-energy-diet according to any of the preceding claims, wherein the diet comprises the carbohydrate source in an amount of from 70 g carbohydrate to 120 g carbohydrate. 35

25

P667DK00

- The low-energy-diet according to claim 8, wherein the diet comprises the carbohydrate source in an amount of from 80 g carbohydrate to 110 g carbohydrate.
- 10. The low-energy-diet according to any of the preceding claims, wherein at least a part of the carbohydrate source is selected from dihydroxy acetone phosphate and/or pyruvate.
- 11. The low-energy-diet according to claim 10, wherein the diet comprises dihydroxy
   acetone phosphate and/or pyruvate in an amount sufficient to increase the energy expenditure.
  - 12. The low-energy-diet according to claim 10 or 11, wherein the diet comprises dihydroxy acetone phosphate and/or pyruvate in an amount sufficient to increase satiety.
  - 13. The low-energy-diet according to claim 10, 11 or 12, wherein the diet comprises dihydroxy acetone phosphate in an amount of from 5 g to 15 g.
- 20 14. The low-energy-diet according to claim 10, 11, 12 or 13, wherein the diet comprises pyruvate in an amount of from 5 g to 20 g.
  - 15. The low-energy-diet according to claim 14, wherein the pyruvate is in the form of sodium pyruvate and/or calcium pyruvate.
  - 16. The low-energy-diet according to any of the preceding claims, wherein the diet comprises the fat source in an amount of from 5 g to 20 g.
- 17. The low-energy-diet according to claim 16, wherein at least 3 g of the fat source
  30 is a fat source capable of increasing the energy expenditure.
  - 18. The low-energy-diet according to claim 17, wherein at least 3 g of the fat source is medium chain triglycerides.

20

35

P667DK00

- 19. The low-energy-diet according to claim 18, wherein the medium chain triglycerides are selected from C6 triglycerides, C8 triglycerides and C10 triglycerides.
- 20. The low-energy-diet according to any of the preceding claims, wherein at least 3 g of the fat source is fish oil.
  - 21. The low-energy-diet according to any of the preceding claims, wherein the diet comprises pH-regulating agent in an amount sufficient to reduce protein degradation.
- 10
  22. The low-energy-diet according to claim 21, wherein the diet comprises as the pH-regulating agent bicarbonate in an amount sufficient to reduce protein degradation.
- 23. The low-energy-diet according to claim 21, wherein the diet comprises bicarbonate in an amount of from 50 mmol/d to 70mmol/d.
  - 24. The low-energy-diet according to any of the preceding claims, wherein diet comprises iron in an amount sufficient to modulate the basal metabolic rate.
  - 25. The low-energy-diet according to claim 24, wherein the diet comprises iron in an amount sufficient to prevent reduction in the basal metabolic rate.
- 26. The low-energy-diet according to claim 24 or 25, wherein the diet comprises Iron
  in an amount of from 20 mg/d to 30 mg/d.
  - 27. The low-energy-diet according to any of the preceding claims, wherein the diet further comprises dietary fibres.
- 30 28. The low-energy-diet according to claim 27, wherein the dietary fibres are selected from barley fibres, sugar beat fibres and oat fibres.
  - 29. The low-energy-diet according to any of the preceding claims, wherein the diet further comprises magnesium.

25

30

35

P667DK00

17

- The low-energy-diet according to claim 29, wherein the magnesium is added as MgCl.
- 31. The low-energy-diet according to any of the preceding claims, wherein the dietfurther comprises ursodeoxy cholic acid.
  - 32. The low-energy-diet according to any of the preceding claims, wherein the diet is in the form of powder.
- 33. The low-energy-diet according to claim 32, wherein the powder is capable of being suspended or solved in liquid, such as liquid selected from water and milk.
  - 34. The low-energy-diet according to any of the preceding claims 1-31, wherein the diet is in the form of ready-to-drink product.
  - 35. The low-energy-diet according to any of the preceding claims 1-31, wherein the diet is in the form of bar product.
- 36. The low-energy-diet according to any of the preceding claims, wherein the diet is:
  suited for the main or sole nutrition daily, said diet being divided into two or more portions per day.
  - 37. The low-energy-diet according to claim 36, wherein the diet is packed in a package intended for being able to cover the total nourishment requirement for a defined period of time.
  - 38. A method for treating overweight comprising administering to an individual in need thereof an effective amount of a low-energy-diet as defined in any of the claims 1-36 as the main or sole nutrition daily.
  - 39. Use of Ingredients being sources of

protein, carbohydrate, fat, and optionally minerals and pH-regulating agents, wherein one or more of the ingredient(s) has effect on at least two of the following mechanisms

18

the basal metabolic rate, and/or the protein metabolism, and /or the energy expenditure,

5

for the production of a low-energy-diet for administration as the main or sole nutrition.

- 40. The use according to claim 39, wherein the ingredients are as defined in any of claims 1-31.
  - 41. The use according to claim 39 or 40, wherein the diet is in the form of a powder or a ready-to-drink product or a bar product.

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

# IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.